

FORM PTO-1390 (Modified) (REV. 07-2004) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE		ATTORNEY'S DOCKET NUMBER <b>5035-189US/P29,553USA</b>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/510374</b>
INTERNATIONAL APPLICATION NO. <b>PCT/GB03/01614</b>	INTERNATIONAL FILING DATE <b>April 15, 2003</b>	PRIORITY DATE CLAIMED <b>April 15, 2002</b>
TITLE OF INVENTION <b>METHOD OF DETECTING COUNTERFEIT DOCUMENTS BY PROFILING THE PRINTING PROCESS</b>		
APPLICANT(S) FOR DO/EO/US <b>DAVID HILTON and WEICHAO TAN</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> <li>11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li>12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> </ol>		
Items 13 to 23 below concern document(s) or information included:		
<ol style="list-style-type: none"> <li>13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>14. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>15. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>17. <input type="checkbox"/> A substitute specification.</li> <li>18. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li> <li>20. <input checked="" type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li> <li>21. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).</li> <li>22. <input checked="" type="checkbox"/> Express Mail Label No. <u>EV 460926194 US</u></li> <li>23. <input checked="" type="checkbox"/> Other items or information:</li> </ol>		
Copy of Amended Version of PCT/GB03/01614 on March 30, 2004 (including drawings) - 23 pages Form PCT/IPEA/402 - 1 page Form PCT/IPEA/401 - 3 pages Form PCT/IB/308 - 1 page		

U.S. APPLICATION NO. (if known, see 37 CFR 1.55) <b>107510374</b>	INTERNATIONAL APPLICATION NO. <b>PCT/GB03/01614</b>	ATTORNEY'S DOCKET NUMBER <b>5035-189US/P29,553USA</b>
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24. The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)) :**

<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO .....	\$1080.00
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....	\$920.00
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....	\$770.00
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....	\$730.00
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) .....	\$100.00

**CALCULATIONS PTO USE ONLY**

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

**\$920.00**

Surcharge of **\$130.00** for furnishing the oath or declaration later than  20  30 months from the earliest claimed priority date (37 CFR 1.492(e)).

**\$0.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	15 - 20 =	0	x \$18.00	<b>\$0.00</b>
Independent claims	1 - 3 =	0	x \$86.00	<b>\$0.00</b>

Multiple Dependent Claims (check if applicable).

**TOTAL OF ABOVE CALCULATIONS =**

**\$920.00**

Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

**\$0.00**

**SUBTOTAL =**

**\$920.00**

Processing fee of **\$130.00** for furnishing the English translation later than  20  30 months from the earliest claimed priority date (37 CFR 1.492(f)).

**\$0.00**

**TOTAL NATIONAL FEE =**

**\$920.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).

**\$40.00**

**TOTAL FEES ENCLOSED =**

**\$960.00**

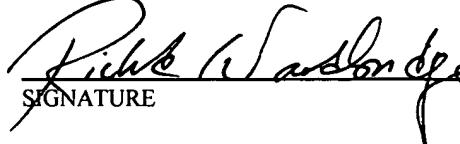
<b>Amount to be: refunded</b>	<b>\$</b>
<b>charged</b>	<b>\$</b>

- A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.
- Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees.
- The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3040
- Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.**

SEND ALL CORRESPONDENCE TO:

Richard C. Woodbridge, Esq.  
Synnestvedt Lechner & Woodbridge, LLP  
P.O. Box 592  
Princeton, NJ 08542-0592

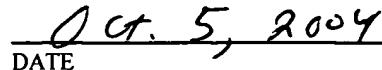
  
SIGNATURE

**Richard C. Woodbridge**

NAME

**26,423**

REGISTRATION NUMBER

  
DATE

## "EXPRESS MAIL CERTIFICATE"

"Express Mail" Mailing Label Number: EV 460926194 US

Express Mail Corporate Account Number: X085783

Date of Deposit: Oct 5, 2004

Title: METHOD OF DETECTING COUNTERFEIT DOCUMENTS  
BY PROFILING THE PRINTING PROCESS

INVENTORS: DAVID HILTON and WEICHAO TAN

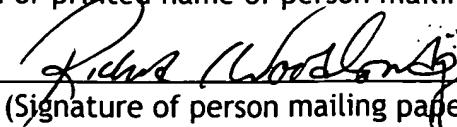
Type of Documents:

1. PCT National Phase Transmittal Letter for PCT/GB03/01614 (x2);
2. Form PTO-2038 for US national phase filing fee - 1 page;
3. Preliminary Amendment - 6 pages;
4. Copy of Amended Version of PCT/GB03/01614 dated March 30, 2004 - 23 pages;
5. Copy of PCT Published Application PCT/GB03/01614 (23 pages, including drawings, cover sheet);
6. PCT International Preliminary Examination Report, including annexes - 7 pages;
7. Four (4) sheets of drawings with labels on backs;
8. Copy of PCT Search Report - 3 pages;
9. Form PCT/IPEA/402 (PCT Notification of Receipt of Demand) - 1 page;
10. Form PCT/IPEA/401 (PCT Demand) - (3 pages);
11. Form PCT/IB/308 (PCT Notice Informing Applicant of Communication of International Application to Designated Offices) - (1 page);
12. Executed Declaration and Power of Attorney - 3 pages;
13. Recordation Form Cover Sheet (1 page) and Executed Assignments (2 pages);
14. Form PTO-2038 for assignment recordal;
15. Information Disclosure Citation (1 page) with 2 references (42 pages);
16. This "Express Mail" Certificate; and;
17. Acknowledgment Post Card.

I hereby certify that the enclosed documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 - Mail Stop PCT

Richard C. Woodbridge, Esq.

(Typed or printed name of person mailing paper or fee)

  
Richard C. Woodbridge

(Signature of person mailing paper or fee)